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PATENT

Attorney Docket No: SOM920000010/1963-7399

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re Application of: :

Applicants: Chen et al. : Group Art Unit: Unassigned

Serial No.: 09/727,524 : Examiner: Unassigned

Filed: December 4, 2000 :

For: AN XML-BASED TEXTUAL SPECIFICATION FOR RICH-MEDIA  
CONTENT CREATION – SYSTEMS, METHODS AND PROGRAM  
PRODUCTS

**ATTN: OFFICIAL DRAFTSPERSON**

Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR APPROVAL OF SUBSTITUTE DRAWINGS**

Sir:

In response to the NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION-FILED UNDER 37 CFR 1.53(b) mailed January 29, 2001 in the above-identified application, Applicants submit herewith substitute Figs. 1-4 (4) sheets of informal drawings for the above designated application. "As filed Fig. 2" has been redesignated Fig. 3. Fig. 2 has been replaced with Fig. 2 of copending application Serial Number 09/727,491, entitled AUTOMATIC, MULTI-STAGE RICH-MEDIA CONTENT CREATION USING A FRAMEWORK BASED DIGITAL WORKFLOW - SYSTEMS, METHODS AND PROGRAM PRODUCTS, filed December 4, 1998 (SOM020000009/1963-7398) and fully incorporated in the present application. "As filed Figs. 3 and 4" were not described in the specification and have been canceled as not the correct drawings for the application. A new

Fig. 4 has been submitted for entry into the case based on the specification at pages 7 and 8.

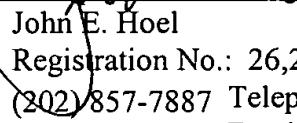
No new matter has been entered into the case.

Applicants believe that no fee is due with the submission of these formal drawings. However, in the event that a fee is found to be due, the Commissioner is authorized to charge such fee(s) to IBM Deposit Account No. 09-0459, Order No. SOM920000010/1963-7399. A DUPLICATE COPY OF THIS PAPER IS ATTACHED HERETO.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Date: March 29, 2001

By: \_\_\_\_\_

  
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SECTOR

Attorney Docket No.: Docket No. SOM920000010/1963-7399

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re Application of: \_\_\_\_\_ :  
Applicants: Chen et al. : Group Art Unit: Unassigned  
Serial No.: 09/727,524 : Examiner: Unassigned  
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CONTENT CREATION - SYSTEMS, METHODS AND PROGRAM  
PRODUCTS

**RESPONSE TO NOTICE OF INCOMPLETE  
NONPROVISIONAL APPLICATION - FILED UNDER 37 CFR 1.53(b)**

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION-  
FILED UNDER 37 CFR 1.53(b) mailed January 29, 2001 in the above-identified application,  
Applicants state that the Notice incorrectly states that the specification and at least one claim was  
missing, and therefore, the above-identified application is entitled to the filing date of December  
4, 2000. In support thereof, Applicants submit copies of the required documents, previously filed  
on December 4, 2000, along with substitute drawings, for appropriate action by the U.S. Patent  
and Trademark Office.

- ☒ Copy of Notice to File Missing Parts
- ☒ Copy of specification and claims (previously filed on 12/04/00)  
w/date stamped postcard
- ☒ Preliminary Amendment, with Attachment A
- ☒ Request for Approval of Substitute Drawings, with Figs 1-4 attached

Also attached please find:

- ☒ Executed Assignment (4 pgs.); Assignment Cover Sheet
- ☒ Information Disclosure Statement w/      cited refs.
- ☒ Applicants believe that no fee is due with this paper. However, the Commissioner

is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to deposit account no 04-0459 , Order No. SOM920000010/1963-7399. A  
DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: March 29, 2001

By: \_\_\_\_\_

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/727,524	12/04/2000	Hua Chen	SOM9-2000-0010/1963-7399

Morgan & Finnegan, LLP  
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New York, NY 10154



CONFIRMATION NO. 5544

## FORMALITIES LETTER



\*OC000000005671659\*

Date Mailed: 01/29/2001

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(i)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The specification is missing.
- The specification does not include at least one claim.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE